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PTO/SB/21 (08-00)

10/047,679 January 14, 2002 Jonathan M. FRIEDMAN

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** TRANSMITTAL Filing Date **FORM First Named Inventor Group Art Unit** To Be Assigned Technology Center 2100 (to be used for all correspondence after initial filing) **Examiner Name** To Be Assigned Total Number Of Pages In This Submission Attorney Docket No. 410862000111 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) (please identify Extension of Time Request Change of Correspondence Address below): Terminal Disclaimer 1. Return Receipt Postcard **Express Abandonment Request** Request for Refund Information Disclosure Statement w/ CD, Number of CD(s)\_ Form 1449 (4 pages) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Morrison & Foerster LLP 425 Market Street, San Francisco, California 94105-2482 Erwin J. Basinski, Reg. No. 34,773 Individual Name

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PATENT Docket No. 410862000111

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In the application of:

Jonathan M. FRIEDMAN and Flynn D. FISHMAN

Serial No.:

10/047,679

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For:

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TIME - BASED MODELING

Examiner: To Be Assigned

Technology Center 210

Group Art Unit: To Be Assigned

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/182,101, filed October 27, 1998, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted: With the application; accordingly, no fee or separate requirements are required.  $\boxtimes$ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. After receipt of a first Office Action on the merits but before mailing of a final Office Ţ Action or Notice of Allowance. A fee is required. A check in the amount of \* is enclosed.  $\Box$ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. П A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed. A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 410862000111. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 11, 2002

Respectfully submitted,

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